SELECTED FCM FINANCIAL DATA AS OF
September 30, 2026
FROM REPORTS FILED BY
November 2, 2026

	Futures Commission Merchant / Retail Foreign Exchange Dealer	Registered As	DSRO	As of Date	Adjusted Net Capital	Net Capital Requirement	Excess Net Capital	Customers' Assets in Seg	Customers' Seg Required 4d(a)(2)	Excess/Deficient Funds in Seg	Target Residual Interest in Seg	Funds in Separate Section 30.7 Accounts	Customer Amount Pt. 30 Required	Excess/Deficient Funds in Separate Section 30.7 Accounts	Target Residual Interest in Section 30.7	Funds in Separate Cleared Swap Segregation	Customer Amount Cleared Swap Seg Required	Excess/Deficient Funds in Cleared Swap Seg Accounts	Target Residual Interest in Cleared Swap Seg	Total Amount of Retail Forex Obligation
		(a)	(b)			(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)	(l)	(m)	(n)	(o)	(p)	(q)
1	ABN AMRO CLEARING USA LLC	FCM BD	CBOT	09/30/2026	1,019,802,357	340,086,539	679,715,818	6,148,211,795	4,885,866,532	1,262,345,263	350,000,000	229,521,262	192,289,942	37,231,320	15,000,000	0	0	0	0	0
2	ADM INVESTOR SERVICES INC	FCM	CBOT	09/30/2026	498,490,871	401,493,553	96,997,318	7,998,638,778	7,881,997,269	116,641,509	75,000,000	547,211,685	516,677,322	30,534,363	15,000,000	0	0	0	0	0
3	ADVANTAGE FUTURES LLC	FCM	CME	09/30/2026	48,468,442	35,913,907	12,554,535	1,120,308,318	1,106,148,159	14,160,159	7,000,000	89,697,858	85,671,484	4,026,374	1,000,000	0	0	0	0	0
4	ALPACA DERIVATIVES LLC	FCM	NFA	09/30/2026	1,762,363	1,000,000	762,363	0	0	0	0	0	0	0	0	0	0	0	0	0
5	AMP GLOBAL CLEARING LLC	FCM	CME	09/30/2026	20,618,911	5,000,000	15,618,911	120,919,126	98,824,323	22,094,803	1,200,000	1,975,859	1,599,553	376,306	150,000	0	0	0	0	0
6	APEX CLEARING CORPORATION	FCM BD	NFA	09/30/2026	587,991,122	65,286,548	522,704,574	191,046,567	164,932,240	26,114,327	15,000,000	0	0	0	0	300,779	250,080	50,699	25,000	0
7	BARCLAYS CAPITAL INC	FCM BD	NYME	09/30/2026	10,341,407,886	4,100,442,517	6,240,965,369	22,189,436,048	21,452,823,062	736,612,986	360,000,000	7,226,819,904	6,975,937,887	250,882,017	100,000,000	29,277,305,079	28,656,423,070	620,882,009	150,000,000	0
8	BITNOMIAL CLEARING LLC	FCM	NFA	09/30/2026	1,970,469	1,000,000	970,469	2,604,507	1,909,290	695,217	100,000	0	0	0	0	0	0	0	0	0
9	BNP PARIBAS SECURITIES CORP	FCM BD	CBOT	09/30/2026	4,217,649,270	1,737,560,100	2,480,089,170	11,875,168,921	10,518,725,467	1,356,443,454	1,058,000,000	1,165,483,700	902,730,713	262,752,987	210,000,000	6,682,963,804	6,069,001,377	613,962,428	300,000,000	0
10	BOFA SECURITIES INC	FCM BD	CBOT	09/30/2026	19,965,671,717	4,863,520,465	15,102,151,252	34,636,370,093	33,869,364,308	767,005,785	325,000,000	6,866,421,595	6,626,357,685	240,063,910	150,000,000	22,730,897,420	21,990,503,448	740,393,972	150,000,000	0
11	CANTOR FITZGERALD & CO	FCM BD	CBOT	09/30/2026	635,881,375	5,000,000	630,881,375	5,823,218	0	5,823,218	3,000,000	0	0	0	0	0	0	0	0	0
12	CHARLES SCHWAB FUTURES AND FOREX LLC	FCM	NFA	09/30/2026	315,775,036	57,327,201	258,447,835	974,815,430	776,214,717	198,600,713	100,000,000	0	0	0	0	0	0	0	0	55,611,806
13	CHS HEDGING LLC	FCM	CBOT	09/30/2026	106,384,318	16,104,780	90,279,538	209,035,344	130,452,719	78,582,625	24,300,000	1,678,579	0	1,678,579	200,000	560,568	0	560,568	120,000	0
14	CITIGROUP GLOBAL MARKETS INC	FCM BD SD	CBOT/NFA	09/30/2026	18,497,160,367	5,780,854,608	12,716,305,759	22,223,024,510	21,656,137,711	566,886,799	413,000,000	6,402,549,094	6,046,262,810	356,286,284	191,800,000	36,925,024,562	36,180,499,508	744,525,054	507,500,000	0
15	CLEAR STREET LLC	FCM BD	CME	09/30/2026	1,095,993,857	183,649,083	912,344,774	1,105,020,947	1,024,520,142	80,500,805	7,050,000	9,679,640	4,949,944	4,729,696	500,000	525,585	1,688	523,897	500,000	0
16	COINBASE FINANCIAL MARKETS INC	FCM	NFA	09/30/2026	330,635,739	14,442,780	316,192,959	388,864,111	243,941,562	144,922,549	15,000,000	6,012,745	5,591	6,007,154	5,000,000	178,383,135	8,230,403	170,152,732	5,000,000	0
17	CURVATURE SECURITIES LLC	FCM BD	CME	09/30/2026	128,640,784	6,680,228	121,960,556	96,506,317	81,439,853	15,066,464	1,000,000	0	0	0	0	0	0	0	0	0
18	DAIWA CAPITAL MARKETS AMERICA INC	FCM BD	CME	09/30/2026	1,384,322,975	1,590,776	1,382,732,199	128,334	0	128,334	1	480,189	1	0	480,189	0	0	0	0	0
19	DEUTSCHE BANK SECURITIES INC	FCM BD	CBOT	09/30/2026	3,607,800,361	433,624,492	3,174,175,869	4,502,061,214	4,351,207,936	150,853,278	100,000,000	1,469,064,512	1,341,521,418	127,543,094	50,000,000	883,223,215	833,136,696	50,086,519	20,000,000	0
20	DIRECT ACCESS USA LLC	FCM	CME	09/30/2026	17,488,461	2,718,340	14,770,121	91,532,192	80,301,497	11,230,695	2,500,000	5,391,622	2,749,427	2,642,195	1,000,000	265,151	2,498	262,653	10,000	0
21	DORMAN TRADING LLC	FCM	CME	09/30/2026	67,190,740	5,137,214	62,053,526	285,708,306	277,065,686	8,642,620	2,000,000	4,163,525	3,080,383	1,083,142	200,000	0	0	0	0	0
22	DV SECURITIES LLC	FCM BD	CME	09/30/2026	140,011,768	1,000,000	139,011,768	0	0	0	0	0	0	0	0	0	0	0	0	0
23	ETRADE FUTURES LLC	FCM	NFA	09/30/2026	80,533,508	6,926,423	73,607,085	174,665,362	99,146,639	75,518,723	13,000,000	0	0	0	0	0	0	0	0	0
24	FANDUEL PREDICTION MARKETS LLC	FCM	NFA	09/30/2026	20,075,841	1,000,000	19,075,841	1,707,176	41,242	1,665,934	250,000	0	0	0	0	11,793,014	6,349,245	5,443,769	1,000,000	0
25	FORIS DAX FCM LLC	FCM	NFA	09/30/2026	8,502,605	1,000,000	7,502,605	0	0	0	0	0	0	0	0	1,774,784	172,786	1,601,998	500,000	0
26	FUTU CLEARING INC	FCM BD	NFA	09/30/2026	1,276,116,262	116,418,863	1,159,697,399	0	0	0	0	0	0	0	0	19,458,686	14,487,780	4,970,906	1,000,000	0
27	GAIN CAPITAL GROUP LLC	FCM RFED	NFA	09/30/2026	80,127,028	29,551,874	50,575,154	0	0	0	0	0	0	0	0	0	0	0	0	201,037,476
28	GH FINANCIALS LLC	FCM	CME	09/30/2026	49,058,847	17,807,108	31,251,739	270,216,172	231,690,529	38,525,643	11,700,000	28,040,656	23,476,964	4,563,692	1,220,000	0	0	0	0	0
29	GOLDMAN SACHS & CO LLC	FCM BD SD	CBOT/NFA	09/30/2026	21,105,704,331	6,592,036,047	14,513,668,284	44,857,287,660	43,843,746,691	1,013,540,969	775,000,000	11,958,890,653	11,350,421,256	608,469,397	475,000,000	25,222,228,243	24,637,832,391	584,395,852	350,000,000	0
30	GUS III LLC	FCM	NFA	09/30/2026	84,511,012	1,000,000	83,511,012	957,695	98,572	859,123	250,000	0	0	0	0	107,526,520	15,930,927	91,595,593	250,000	0
31	HIDDEN ROAD PARTNERS CIV US LLC	FCM BD	CME	09/30/2026	972,593,557	26,347,715	946,245,842	727,950,138	663,836,222	64,113,916	27,300,000	0	0	0	0	0	0	0	0	0
32	HSBC SECURITIES USA INC	FCM BD	CME	09/30/2026	2,318,187,852	742,321,350	1,575,866,502	6,485,422,686	6,213,404,681	272,018,005	206,000,000	877,568,819	644,199,511	233,369,308	15,000,000	2,133,356,905	2,023,452,426	109,904,479	83,000,000	0
33	ICE DERIVATIVES EXECUTION SERVICES LLC	FCM	NFA	09/30/2026	1,771,890	1,000,000	771,890	0	0	0	0	0	0	0	0	0	0	0	0	0
34	INTERACTIVE BROKERS LLC	FCM BD	CME	09/30/2026	10,530,520,913	2,040,405,107	8,490,115,806	10,552,566,151	10,346,382,001	206,184,150	155,000,000	928,159,751	800,900,814	127,258,937	80,000,000	30,367,774	26,187,328	4,180,446	2,000,000	27,053,983
35	IRONBEAM INC	FCM	CME	09/30/2026	19,552,216	1,000,000	18,552,216	102,269,145	83,485,756	18,783,389	1,600,000	540,384	61,586	478,798	150,000	0	0	0	0	0
36	JP MORGAN SECURITIES LLC	FCM BD SD	CEI/NFA	09/30/2026	32,466,821,476	7,633,403,529	24,833,417,947	62,882,183,060	59,770,735,850	3,111,447,210	1,374,726,925	10,258,731,821	9,280,668,165	978,063,656	473,314,076	26,247,018,448	25,106,191,919	1,140,826,529	677,867,182	0
37	KALSHI PRIME LLC	FCM	NFA	09/30/2026	45,678,283	1,000,000	44,678,283	27,568,320	19,937,409	7,630,911	1,000,000	0	0	0	0	0	0	0	0	0
38	LIME TRADING CORP	FCM BD	NFA	09/30/2026	7,876,833	1,000,000	6,876,833	2,927,040	2,673,387	253,653	200,000	40,010	0	40,010	20,000	0	0	0	0	0
39	MACQUARIE FUTURES USA LLC	FCM	CBOT	09/30/2026	696,919,831	458,489,663	238,430,168	5,454,186,507	5,084,045,060	370,141,447	85,000,000	695,265,817	619,384,831	75,880,986	7,000,000	659,429	0	659,429	250,000	0
40	MAREX CAPITAL MARKETS INC	FCM BD	CME	09/30/2026	986,311,468	624,727,404	361,584,064	11,327,838,020	11,195,328,343	132,509,677	75,000,000	527,172,265	497,109,827	30,062,438	8,000,000	221,401,172	186,382,080	35,019,092	2,000,000	0
41	MCVEAN TRADING & INVESTMENTS LLC	FCM	NFA	09/30/2026	14,754,956	1,977,473	12,777,483	278,973,087	255,455,702	23,517,385	18,000,000	2,796,883	0	2,796,883	1,000,000	0	0	0	0	0
42	MFI FUNDING LLC	FCM	CME	09/30/2026	485,285,283	36,897,121	448,388,162	0	0	0	0	0	0	0	0	0	0	0	0	0
43	MID CO COMMODITIES INC	FCM	NFA	09/30/2026	16,755,853	6,253,128	10,502,725	80,488,592	62,063,173	18,425,419	5,138,000	0	0	0	0	0	0	0	0	0
44	MINT BROKERS	FCM BD	NFA	09/30/2026	2,421,919	1,000,000	1,421,919	0	0	0	0	0	0	0	0	0	0	0	0	0
45	MIZUHO SECURITIES USA LLC	FCM BD	CME	09/30/2026	1,659,125,087	626,017,560	1,033,107,527	9,335,727,612	8,977,327,176	358,400,436	150,000,000	2,731,521,508	2,457,253,879	274,267,629	70,000,000	23,594,317	0	23,594,317	10,000,000	0
46	MOOMOO FINANCIAL INC	FCM BD	NFA	09/30/2026	127,170,514	6,789,612	120,380,902	0	0	0	0	0	0	0	0	15,155,372	338,840	14,816,532	1,000,000	0
47	MORGAN STANLEY & CO LLC	FCM BD SD	CME/NFA	09/30/2026	19,803,347,353	5,494,924,194	14,308,423,159	36,019,741,377	35,425,409,497	594,331,880	235,000,000	9,453,002,454	9,235,308,190	217,694,264	140,000,000	28,114,249,144	27,801,736,485	312,512,659	112,000,000	0
48	MORTON ST TRADING INVESTMENTS LLC	FCM	NFA	09/30/2026	8,825,134	1,000,000	7,825,134	0	0	0	0	0	0	0	0	12,562,435	2,276,766	10,285,669	500,000	0
49	NANHUA USA LLC	FCM	CME	09/30/2026	93,879,031	39,692,653	54,186,378	996,685,611	925,623,079	71,062,532	5,500,000	1,466,109	740,286	725,823	100,000	0	0	0	0	0
50	NATWEST MARKETS SECURITIES INC	FCM BD	CBOT	09/30/2026	674,877,929	1,004,238	673,873,691	7,500,000	0	7,500,000	5,000,000	0	0	0	0	0	0	0	0	0
51	NINJATRADER CLEARING LLC	FCM	NFA	09/30/2026	133,824,726	1,214,461	132,610,265	634,725,311	498,017,847	136,707,464	4,000,000	3,047,734	2,042,534	1,005,200	400,000	3,998,374	20,837	3,977,537	2,000,000	0
52	NOMURA SECURITIES INTERNATIONAL INC	FCM BD	CBOT	09/30/2026	1,581,507,740	151,905,761	1,429,601,979	226,050,583	175,016,510	51,034,073	19,000,000	1,250,000	0	1,250,000	1,000,000	0	0	0	0	0
53	OANDA CORPORATION	FCM RFED	NFA	09/30/2026	32,342,749	26,741,873	5,600,876	0	0	0	0	0	0	0	0	0	0	0	0	134,412,417
54	OPEN TO THE PUBLIC INVESTING INC	FCM BD	NFA	09/30/2026	8,888,739	1,000,000	7,888,739	0	0	0	0	0	0	0	0	0	0	0	0	0
55	PERFORMANCE PREDICTIONS II LLC	FCM	NFA	09/30/2026	30,714,215	1,000,000	29,714,215	0	0	0	0	0	0	0	0	23,147,622	1,954,033	21,193,589	500,000	0
56	PHILLIP CAPITAL INC	FCM BD	CME	09/30/2026	146,849,622	74,203,796	72,645,826	1,371,141,640	1,305,151,156	65,990,484	27,000,000	21,183,974	14,928,910	6,255,064	1,000,000	0	0	0	0	0
57	PLUS500US FINANCIAL SERVICES LLC	FCM	CBOT	09/30/2026	121,470,971	39,051,163	82,419,808	1,168,066,827	1,098,100,205	69,966,622	11,800,000	2,330,536	1,227,120	1,103,416	100,000	11,325,546	2,978,712	8,346,834	1,000,000	0
58	RBC CAPITAL MARKETS LLC	FCM BD	CME	09/30/2026	2,507,883,265	913,862,669	1,594,020,596	7,037,214,447	6,265,582,565	771,631,882	287,500,000	1,512,757,088	1,217,122,177	295,634,911	37,500,000	3,525,987,017	2,912,728,262	613,258,755	125,000,000	0
59	RJ OBRIEN ASSOCIATES LLC	FCM	CME	09/30/2026	22,240,087	5,000,000	17,240,087	185,022,982	164,699,528	20,323,454	10,000,000	19,069,462	10,102,909	8,966,553	3,000,000	0	0	0	0	0
60	ROBINHOOD DERIVATIVES LLC	FCM	NFA	09/30/2026	585,329,737	10,592,401	574,737,336	248,451,056	143,275,115	105,175,941	65,000,000	13,227,068	13,233	13,213,835	1,000,000	237,634,587	40,087,588	197,546,999	1,000,000	0
61	SANTANDER US CAPITAL MARKETS LLC	FCM BD	NYME	09/30/2026	583,412,147	225,292,007	358,120,140	3,529,077,078	3,398,883,487	130,193,591	85,000,000	2,065,400	56,540	2,008,860	1,000,000	0	0	0	0	0
62	SCOTIA CAPITAL USA INC	FCM BD	CME	09/30/2026	1,380,410,546	88,334,758	1,292,075,788	0	0	0	0	0	0	0	0	0	0	0	0	0
63	SG AMERICAS SECURITIES LLC	FCM BD	CME	09/30/2026	6,405,257,396	2,527,662,895	3,877,594,501	26,864,440,376	26,365,839,948	498,600,428	200,000,000	9,970,155,194	9,581,725,854	388,429,340	200,000,000	2,776,016,623	2,554,014,153	222,002,470	80,000,000	0
64	SLEEPER MARKETS LLC	FCM	NFA	09/30/2026	10,549,247	1,000,000	9,549,247	0	0	0	0	0	0	0	0	9,697,547	1,274,237	8,423,310	127,424	0
65	STONEX FINANCIAL INC	FCM BD	CME	09/30/2026	900,574,980	660,124,283	240,450,697	14,590,187,704	14,223,650,385	366,537,319	120,000,000	553,399,883	509,717,675	43,682,208	10,000,000	0	0	0	0	0
66	STRAITS FINANCIAL LLC	FCM	CME	09/30/2026	43,426,857	20,000,000	23,426,857	502,472,001	483,840,865	18,631,136	2,000,000	37,813,815	26,597,068	11,216,747	300,000	0	0	0	0	0
67	TASTYFX LLC	RFED	NFA	09/30/2026	66,602,340	21,994,860	44,607,480	0	0	0	0	0	0	0	0	0	0	0	0	49,897,195
68	TRADESTATION SECURITIES INC	FCM BD	CME	09/30/2026	241,816,693	8,511,391	233,305,302	775,104,964	730,512,329	44,592,635	9,000,000	15,391,384	10,196,395	5,194,989	800,000	0	0	0	0	0
69	TRADING.COM MARKETS INC	FCM RFED	NFA	09/30/2026	23,433,697	20,000,000	3,433,697	0	0	0	0	0	0	0	0	0	0	0	0	2,490,720
70	UBS FINANCIAL SERVICES INC	FCM BD	NFA	09/30/2026	1,848,898,522	157,359,554	1,691,538,968	195,744,299	77,286,615	118,457,684	35,000,000	53,778,563	22,353,120	31,425,443	15,000,000	0	0	0	0	0
71	UBS SECURITIES LLC	FCM BD	CBOT	09/30/2026	3,811,112,184	1,211,987,888	2,599,124,296	10,425,223,235	10,112,675,247	312,547,988	150,000,000	1,806,979,112	1,654,347,988	152,631,124	75,000,000	1,887,318,384	1,581,957,804	305,360,580	125,000,000	0
72	UDM LLC	FCM	NFA	09/30/2026	14,454,845	1,000,000	13,454,845	0	0	0	0	0	0	0	0	14,946,395	1,342,165	13,604,230	1,000,000	0
73	WEBULL FINANCIAL LLC	FCM BD	NFA	09/30/2026	198,412,603	25,245,982	173,166,621	0	0	0	0	0	0	0	0	0	0	0	0	0
74	WEBULL FUTURES LLC	FCM	NFA	09/30/2026	37,200,611	1,000,000	36,200,611	62,744,064	55,004,873	7,739,191	1,500,000	0	0	0	0	9,020,416	214,625	8,805,791	250,000	0
75	WEDBUSH SECURITIES INC	FCM BD SD	CBOT/NFA	09/30/2026	262,004,947	27,960,175	234,044,772	841,786,142	797,635,225	44,150,917	25,000,000	14,064,243	10,121,597	3,942,646	1,000,000	156,264,738	93,362,800	62,901,938	1,000,000	0
76	WELLS FARGO SECURITIES LLC	FCM BD	CME	09/30/2026	10,765,949,673	2,240,268,286	8,525,681,387	9,753,991,679	9,024,659,746	729,331,933	300,000,000	576,152,807	337,471,399	238,681,408	30,000,000	19,456,614,671	18,461,133,866	994,880,805	580,000,000	0
	Totals							**382,163,529,875**	**366,722,135,128**	**15,441,394,747**	**7,355,614,926**	**76,092,015,162**	**71,007,383,987**	**5,084,631,175**	**2,387,754,077**	**206,951,967,461**	**199,210,456,823**	**7,741,510,639**	**3,291,399,606**	**470,503,597**
	August Web Page Update	**75**																		
	Additions	**1**																		
	ICE DERIVATIVES EXECUTION SERVICES LLC																			
	Deletions																			
	None																			
	Name Changes																			
	None																			

SELECTED FCM FINANCIAL DATA AS OF
September 30, 2026
FROM REPORTS FILED BY
November 2, 2026

September Web Page Update **76**

(a): FCM: Futures Commission Merchant that is registered with the Commodity Futures Trading Commission.
BD: The FCM is also registered with the Securities and Exchange Commission as a securities broker or dealer.
RFED: Retail Foreign Exchange Dealer that is registered with the Commodity Futures Trading Commission.
FCMRFD: The FCM is also registered with the Commodity Futures Trading Commission as a Retail Foreign Exchange Dealer.
SD: The FCM or RFED is also registered with the Commodity Futures Trading Commission as a provisionally registered swap dealer.

(b): DSRO: Designated Self-Regulatory Organization. FCM/RFED firms that are dually registered as SDs have two DSROs listed if they have a different DSRO for the FCM/RFED and the SD. The DSRO for the FCM/RFED is listed first and the DSRO for the SD is listed second.

(c): A firm's net capital requirement is the greater of:

- FCM minimum dollar amount ($1,000,000); or
- risk based capital requirement, the sum of 8% of total customer risk maintenance margin and 8% of total non-customer risk maintenance margin; or
- the amount of capital required by a registered futures association (currently NFA is the only such association); or
- for securities brokers and dealers, the amount of net capital required by Rule 15c3-1(a) of the Securities and Exchange Commission; or
- minimum dollar amount for FCM's offering or engaged in retail forex transactions and RFEDs ($20,000,000); or
- minimum dollar amount for FCM's offering or engaged in retail forex transactions and RFEDs ($20,000,000) plus five percent of the FCM's or RFED's total retail forex obligation in excess of $10,000,000.

(d): Excess net capital is adjusted net capital, less the firm's net capital requirement.

(e): This represents the total amount of money, securities, and property held in segregated accounts for futures and options customers in compliance with Section 4d(a)(2) of the Commodity Exchange Act.

(f): This represents the total amount of funds that an FCM is required to segregate on behalf of customers who are trading on a designated contract market. This is the sum of all accounts that contain a net liquidating equity.

(g): Excess/Deficient funds in segregation is customer assets in segregation, less the customer segregation requirement.

(h): This represents the targeted excess of proprietary funds deposited into customer segregated accounts above the customer segregation requirement. This target amount is set by the firm.

(i): This represents the total amount of money, securities, and property held in secured accounts for futures and options customers who trade on commodity exchanges located outside the United States in compliance with Part 30 of the Commission's regulations.

(j): This represents the amount of funds an FCM is required to set aside for customers who trade on commodity exchanges located outside of the United States.

(k): Excess/Deficient funds in separate Section 30.7 accounts is funds in separate Section 30.7 accounts, less the customer amount Part 30 requirement.

(l): This represents the targeted excess of proprietary funds deposited into separate Section 30.7 accounts above the customer amount Part 30 requirement. This target amount is set by the firm.

(m): This represents the total amount of money, securities, and property held in cleared swap customer accounts for cleared swap customers in compliance with Section 4d(f) of the Commodity Exchange Act.

(n): This represents the amount of funds an FCM is required to segregate for customers who trade cleared swaps.

(o): Excess/Deficient funds in cleared swap customer accounts is funds in separate cleared swap segregation accounts, less the cleared swap customer segregation requirement.

(p): This represents the targeted excess of proprietary funds deposited into separate cleared swap segregation accounts above the cleared swap customer segregation requirement. This target amount is set by the firm.

(q): This represents the total amount of funds at an FCM, RFED, or FCMRFD that would be obtained by combining all money, securities and property deposited by a retail forex customer into a retail forex account or accounts, adjusted for the realized and unrealized net profit or loss.